

PRIVATE ADMONITION. Board Case No. 17, 2005. Effective Date: December 9, 2005

A private admonition was imposed with the consent of the Respondent for violation of **Rule 1.15(d)** (trust accounting irregularities), **Rule 5.3** (failure to supervise staff), and **Rule 8.4(d)** (inaccurate certifications filed with the Supreme Court). Failures included no monthly client balance listings prepared each month, and no reconciliation of client balances with the bank statement balance each month. In addition, an employee had virtually free access to trust funds with little or no supervision. No clients were harmed, and the lawyer promptly brought the books and records into compliance, which was costly and time consuming.

As a condition, the lawyer must pre-certify compliance with Rules 1.15 and 1.15A by a licensed certified public accountant for 2006, 2007 and 2008 Certificates of Compliance. Costs incurred by the Office of Disciplinary Counsel and the Lawyers' Fund for Client Protection must also be paid.